
**CERERE - CHESTIONAR /
PROPOSAL FORM**
privind asigurarea de răspundere civilă legală a managerilor /
regarding Directors and Officers Liability

INFORMATII GENERALE/ GENERAL INFORMATION

-
- 1 Denumirea Societatii/ Name of Company
-
- 2 Sediul social al Societatii/ Address of the Company's headquarter
-
- 3 Anul infiintarii Societatii/ When was the Company established
-
- 4 Felul activitatii desfasurate/ Type of business activity
-
- 5 De la infiintare si pana in prezent Societatea si-a modificat felul activitatii?/ Since founding and till now the Company has changed its current business activity?
- DA/ YES NU/ NO
- Daca DA, va rugam sa detaliati/ If YES, please give details
-
- 6 Societatea are sucursale, reprezentante, agentii?/ Has the Company subsidiaries?
- DA/ YES NU/ NO
- Daca DA, va rugam sa precizati numarul, anul inmatricularii si localitatea unde au fost inregistrate/ If YES, please specify the number of subsidiaries, establishing year and the place where the subsidiaries were registered.

DETALII DESPRE ACTIONARIAT/ DETAILS OF OWNERSHIP

-
- 7 Felul capitalului/ Type of capital
- Public/ stat/ Public
- Privat/ Private
- Mixt (stat + privat)/ Mixed
-
- 8 Forma de constituire/ Juridical form
- Societate in nume colectiv/ Ordinary partnership
- Societate in comandita simpla/ Limited partnership
- Societate pe actiuni/ Joint-stock Company
- Societate in comandita pe actiuni/ Limited partnership by shares
- Societate cu raspundere limitata/ Limited liability company
-

9 Societatea este tranzactionata la Bursa sau alte piete de capital?/ Is the Company listed on Stock Exchange or any other organized security market?

DA/ YES

NU/ NO

Daca "DA", va rugam sa precizati/ If yes, please specify:

a) Numele pietei de capital/ The name of security market:

b) Date cu privire la listare/ Date of listing:

10 Actionariat/ Stock ownership

a) Numarul total de actiuni emise/ Total number of shares issued:

b) Numarul total de actionari/ Total number of shareholders

c) Numarul total si felul actiunilor detinute direct de manageri si directori/ Total number and type of shares held directly or beneficially by Directors and Officers

d) Specificati fiecare persoana fizica sau juridica care detine 10% sau mai mult din numarul total al actiunilor Societatii si procentul exact detinut de acestea/ Please identify each individual or entity holding 10% or more of any class of the Company's stock or of the stock of any subsidiary. Please indicate the exact percentage of such stock holding.

SCHIMBARI IN COMPANIE/ CHANGES IN THE COMPANY

11 Va rugam sa ne precizati, conform Organigramei:/ Please specify, according to the Organization Chart:

a) Numarul de administratori/ Numbers of administrators:

b) Numarul de directori/ Numbers of directors:

12 In ultimii trei ani au fost inregistrate schimbari la nivelul conducerii Societatii, altele decat cele generate de pensionare sau deces?/ Have there been any changes in the board of Directors and Officers of the Company within the last three years for reasons other than death or retirement?

DA/ YES

NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

13 In ultimii trei ani Societatea a fuzionat sau a achizitionat alta societate? Urmeaza sa faca acest lucru anul viitor?/ Has the Company merged with or acquired any other company within the last three years or is the Company presently considering any mergers or acquisitions within the next year?

DA/ YES

NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

14 In ultimii doi ani Societatea a completat o declaratie pentru o oferta de titluri de participatie?/ Has the Company filed within the last two years or do they contemplate filing within the next year any registration statement for an offering of securities?

DA/ YES

NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

15 Societatea a fost sau urmeaza sa fie achizitionata?/Has the Company been acquired or has there been any attempted threat

DA/ YES NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

16 In ultimii trei ani Societatea si-a schimbat structura capitalului sau urmeaza sa si-o schimbe?/ Has the capital structure of the Company been changed in the last three years or is a change being contemplated?

DA/ YES NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

ASIGURARI ANTERIOARE/ PREVIOUS INSURANCE

17 Ati avut anterior sau detineti in prezent o asigurare de raspundere civila a Managerilor?/ Has the Company held or do they now hold any Directors and Officers Liability Insurance?

DA/ YES NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

Numele asiguratorului	Limita raspunderii	Data la care expira polita
Name of insurer	Limit of indemnity	Expiration date of policy

Cand a fost incheiata prima asigurare de raspundere civila a Managerilor?/ What is the date of the first D&O Liability Insurance policy?

18 A fost vreodata intrerupta acoperirea pentru Manageri intre data incheierii primei polite si data completarii prezentei cererichestionar?/ Has the coverage for D&O liability ever been interrupted between the date of first insurance and the present application?

DA/ YES NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

19 A existat vreun asigurator care a anulat sau refuzat reinnoirea politei de asigurare?/ Has ever been an Insurer which canceled or declined the renewal of the insurance policy?

DA/ YES NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

EXPERIENTA ANTERIOARA/ PREVIOUS EXPERIENCE

20 Societatea, managerii sau directorii au fost vreodata:/ Has the Company or its Directors and Officers:

a) implicati intr-un proces civil, penal sau orice procedeu guvernamental sau administrativ ca urmare a incalcarii unor prevederi legale?/ ever been named in any civil or criminal action or any governmental or administrative proceeding involving a violation of any security law or regulation?

DA/ YES NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

b) implicati in orice litigiu?/ ever been involved in any litigation?

DA/ YES NU/ NO

Daca "DA", va rugam sa detaliati/ If YES, please give details

- 21 In ultimii 5 ani, Societatea a incalcat conventii cu privire la datorii, imprumuturi?/ Has the Company been in breach of any of its debts, covenants or loan agreements at any time within the last five years?
 DA/ YES NU/ NO
 Daca "DA", va rugam sa detaliati/ If YES, please give details
- 22 Au existat pana in prezent cereri de despagubire?/ Has any claim ever been made against anyone for whom this insurance is intended?
 DA/ YES NU/ NO
 Daca "DA", va rugam sa detaliati/ If YES, please give details
- 23 Exista circumstante care pot determina reclamatii/plangeri?/ Are there fact(s) or circumstance(s) which might give rise to claim?
 DA/ YES NU/ NO
 Daca "DA", va rugam sa detaliati/ If YES, please give details
- 24 Exista vreo ancheta oficiala deschisa de autoritatile competente cu privire la activitatea Societatii, a managerilor sau directorilor?/ Has any official inquiry been undertaken by authorized body into the activities of the Company or their Directors or Officers?
 DA/ YES NU/ NO
 Daca "DA", va rugam sa detaliati/ If YES, please give details
- 25 Exista vreun manager sau director care dupa ancheta, are cunostinta despre orice fapt, circumstanta sau situatie care poate determina plangeri impotriva sa?/ Has any Director or Officer, after inquiry, knowledge or information of any fact(s), circumstance(s) or situation(s) which might give rise to a claim?
 DA/ YES NU/ NO
 Daca "DA", va rugam sa detaliati/ If YES, please give details

LIMITA RASPUNDERII/ LIMIT OF LIABILITY

- 26 maxima a raspunderii pe intreaga perioada de asigurare: _____
 Maximum limit of liability for insurance period

FRANSIZA/ DEDUCTIBLE

- 27 Fransiza solicitata/ Requested deductible: _____

INFORMATII SUPLIMENTARE/ ADDITIONAL INFORMATION

Va rugam sa anexati urmatoarele documente:/ Please enclose the following information:

- a) Ultimele doua rapoarte anuale auditate ale Societatii (in limba romana sau engleza)/
 The last two annual reports for the Company supervised by the auditors (in Romanian or English language)
- b) Documentele financiare (bilant, cont de profit si pierderi) pentru ultimii 3 ani si ultimul semestru (daca este cazul) (in limba romana sau engleza)/ The financial statements (balance sheet and income statements) for the last 3 years and the last semester (if it is the case) (in Romanian or English language)
- c) Orice alt document prin care s-a anuntat emiterea de titluri de participatie in ultimii 2 ani/
 Any document for the offering of securities in the last 2 years
- d) Contractul de societate, statutul societatii, certificatul de inmatriculare si certificatul de mentiuni/

Establishing documents, register and mentions certificate

- e) lista nominala cu administratorii/ managerii conform urmatoarei structuri:
The list of the managers according with the following scheme:

Numele si prenumele	Pozitia detinuta in societate	Procentul de actiuni detinut in societate (daca persoana este actionar in societate procentul de actiuni detinut)
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Name and surname	[The position held in the company]	The percentage of shares (in case the manager is also shareholder)
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- f) alte informatii financiare, respectiv: /Other Financial information:

1. **Total datorii la banci /Total credits to banks** RON,
din care/ from which :

1.1 datorii curente (current credits)..... RON
din care/ from which :

1.1 a) pe termen scurt / on short term (1-5 ani /1-5 years)RON

1.1 b) pe termen lung / on log term (more than 5 years)RON

1.2 datorii restante (overdue credits)RON

2. **Daca societatea este inregistrata la Centrala Incidentelor de Plati**
/There is any evidence of the company in the Payment Incidents Bureau

Da /Yes

Nu/No

DECLARATIE/ DECLARATION

Solicitantul declară că datele incluse în această cerere, inclusiv in anexe sunt reale și în conformitate cu documentele și informațiile de care dispune, fiind de acord să stea la bază și să fie parte integranta a poliței emise./ The applicant declare that the statements in this proposal are true and in concordance to the documents and information which he possesses, being agree that this questionnaire shall form the basis of the insurance contract.

Am primit in scris de la Asigurator informatiile privindu-l pe acesta si informatii despre asigurarea/asigurarile pe care intentionez sa le inchei in baza prezentei cereri./ I have received from the Insurer written information about it and the insurance/insurances which I intend to buy according to this proposal form.
Data/ Date _____

Pentru si in numele/ For and on behalf of _____
(se completeaza numele Societatii)/ (insert name of Company)

Semnatura presedintelui _____
Sau directorului general/
Signature of President or Chairman of the Board